Nominee Report | U.S. Office of Government Ethics; 5 C.F.R. part 2634 | Form Approved: OMB No. (3209-0001) (January 2018)

# Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

### Filer's Information

### Cella, Joseph James

Ambassador to the Republic of Fiji, to the Republic of Kiribati, to the Republic of Nauru, to the Kingdom of Tonga and to Tuvalu, Department of State

Other Federal Government Positions Held During the Preceding 12 Months:

None

Names of Congressional Committees Considering Nomination:

Committee on Foreign Relations

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Cella, Joseph James [electronically signed on 08/23/2017 by Cella, Joseph James in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Huitema, David, Certifying Official [electronically signed on 02/21/2018 by Huitema, David in Integrity.gov]

Other review conducted by

U.S. Office of Government Ethics Certification

/s/ Apol, David, Certifying Official [electronically signed on 02/26/2018 by Apol, David in Integrity.gov]

### 1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME		CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	The Pontifex Group	See Endnote	Ypsilanti, Michigan	Corporation	Principal	9/2010	Present

## 2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	The Pontifex Group (consulting services)	N/A	\$1,001 - \$15,000	Consulting Fees	\$342,400
2	Ave Maria Growth Fund IRA	Yes	\$100,001 - \$250,000		None (or less than \$201)
3	Ave Maria Value Fund Roth IRA	Yes	\$15,001 - \$50,000		None (or less than \$201)
4	Ave Maria Value Fund SEP IRA	Yes	\$1,001 - \$15,000		None (or less than \$201)
5	Chaldean Community Foundation	N/A		Salary received as an employee.	\$13,846
6	Ave Maria Rising Dividend Fund Roth IRA	Yes	\$15,001 - \$50,000		None (or less than \$201)
7	Ave Maria World Equity Fund Roth IRA	Yes	\$15,001 - \$50,000		None (or less than \$201)
8	Ave Maria Growth Fund SEP IRA	Yes	\$15,001 - \$50,000		None (or less than \$201)
9	Ave Maria Rising Dividend Fund SEP IRA	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
10	Ave Maria World Equity Fund SEP IRA	Yes	\$1,001 - \$15,000		None (or less than \$201)

## 3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	The Pontifex Group	Augusta Township, Michigan	During my appointment my firm, The Pontifex Group, will go dormant and I will not perform any services for this firm.	2/2018

# 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

SOURCE NAME	-	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
The Pontifex Group		Ypsilanti, Michigan	Founder and Principal of small consulting firm.
Lais Hotel Properties Limited	See Endnote	Niagara-on-the- Lake, Outside U.S.	General consulting, including, but not limited to public and media affairs and real estate acquisition.
Father Gabriel Richard High School	See Endnote	Ann Arbor, Michigan	Devise and implement strategies and tactics for operational enhancement, manage various projects on campus and with vendors and coordinate earned media.
Catholic Vote Education Fund	See Endnote	Madison, Wisconsin	Project management.
Notre Dame University - Louaize	See Endnote	Beirut, Outside U.S.	General consulting including an assessment of their fundraising and marketing operations, provide counsel on operational enhancement, identify prospective vendors for fundraising and facilitate related meetings.
Catholic Vote	See Endnote	Madison, Wisconsin	Strategic and tactical advisor on political and institutional advancement matters.
	The Pontifex Group  Lais Hotel Properties Limited  Father Gabriel Richard High School  Catholic Vote Education Fund  Notre Dame University - Louaize	The Pontifex Group  Lais Hotel Properties Limited See Endnote  Father Gabriel Richard High School See Endnote  Catholic Vote Education Fund See Endnote  Notre Dame University - Louaize See Endnote	The Pontifex Group  Ypsilanti, Michigan  Lais Hotel Properties Limited  See Endnote  Niagara-on-the-Lake, Outside U.S.  Father Gabriel Richard High School  See Endnote  Ann Arbor, Michigan  Catholic Vote Education Fund  See Endnote  Madison, Wisconsin  Notre Dame University - Louaize  See Endnote  Beirut, Outside U.S.  Catholic Vote  See Endnote  Madison,

#	SOURCE NAME	_	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
7	Aidan Services, LLC	See Endnote	Bethesda, Maryland	Public affairs and media affairs.
8	Recovery Management Technology	See Endnote	Ann Arbor, Michigan	Provide strategic and tactical advice, facilitation of, and participation in meetings with prospective strategic partners.
9	Chaldean Community Foundation	See Endnote	Farmington Hills, Michigan	Director of Strategic Initiatives.

# 5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE INC	OME TYPE INCOME AMOUNT
1	Ave Maria Growth Fund IRA	Yes	\$50,001 - \$100,000	None (or less than \$201)
2	Ave Maria Value Fund Roth IRA	Yes	\$1,001 - \$15,000	None (or less than \$201)
3	Ave Maria Growth Fund Roth IRA	Yes	\$1,001 - \$15,000	None (or less than \$201)
4	Ave Maria Rising Dividend Fund Roth IRA	Yes	\$1,001 - \$15,000	None (or less than \$201)
5	Ave Maria World Equity Fund Roth IRA	Yes	\$1,001 - \$15,000	None (or less than \$201)

## 6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Augusta Township, Michigan - Residential Real Estate	N/A	\$250,001 - \$500,000	Rent or Royalties	\$5,001 - \$15,000

### 7. Transactions

(N/A) - Not required for this type of report

### 8. Liabilities

#	CREDITOR NAME		TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Quicken Loans		Mortgage on Personal Residence	\$250,001 - \$500,000	2013	3.375	15 year fixed rate.
2	Sheriff-Goslin Company	See Endnote	Roof Loan	\$15,001 - \$50,000	2014	4	3 years fixed rate.

## 9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

## **Endnotes**

PART	#	ENDNOTE
1.	1	The Pontifex Group is registered as an LLC.
4.	2	Lais Hotels Properties Limited is registered as a limited partnership.
4.	3	Father Gabriel Richard High School is registered as a 501c3.
4.	4	Catholic Vote Education Fund is registered as a 501c3.

PART	#	ENDNOTE
4.	5	Notre Dame University - Louaize is a non-profit higher educational institution and registered as a 501c3 in the United States.
4.	6	Catholic Vote is registered as a 501c4.
4.	7	Aidan Services, LLC is registered as a limited liability corporation.
4.	8	Recovery Management Technology is registered as a limited liability corporation.
4.	9	The Chaldean Community Foundation is registered as a 501c3.
8.	2	This note was paid in full as of 4 August 2017.

### **Summary of Contents**

#### 1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

### 2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

### 3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

### 5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

#### 6. Other Assets and Income

Part 6 discloses each asset, not already reported, that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in investment income during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 of income was produced). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

#### 7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

### 8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

#### 9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$156 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

### **Privacy Act Statement**

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U.S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person. subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records Administration or the General Services Administration in records management inspections; (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosing agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another: (9) to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record; (10) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

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